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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Yoshimura, et al.

Serial No.: **09/295,431**

Filed: April 20, 1999

For: MULTI-LAYER OPTO-

ELECTRONIC SUBSTRATES WITH

ELECTRICAL AND OPTICAL INTERCONNECTIONS AND METHODS FOR MAKING

Art Group Unit: 2733

Examiner: Unassigned

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 13-0201 to cover this fee.

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This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,

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February 11, 2000 COUDERT BROTHERS 4 Embarcadero Center, Suite 3300 San Francisco, CA 94111

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